

Tuesday, October 07, 2025

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NOTICE – Items in this issue will be listed online weekly and printed monthly.

### AFFINITY BANCSHARES INC

**Co. Repurchasing Certain Securities** On Mar. 7, 2025, the Board of Directors of Affinity Bancshares, Inc. announced the adoption of a repurchase program. Under the repurchase program, Co. may repurchase up to 320,480 shares of its common stock, or approximately 5% of the current outstanding shares.

### AFFINITY BANCSHARES INC

**Dividend Announcement** On Feb. 27, 2025, the Board of Directors of Affinity Bancshares, Inc. declared a special dividend of \$1.50 per share. The special dividend will be paid Mar. 27, 2025 to stockholders of record as of Mar. 13, 2025.

### AMERANT BANCORP INC

**Dividend Announcement** On Jan. 22, 2025, Co.'s Board of Directors declared a cash dividend of \$0.09 per-share of common stock, payable on Feb. 28, 2025, to shareholders of record at the close of business on Feb. 14, 2025.

### AMERANT BANCORP INC

**Dividend Announcement** On Apr. 23, 2025, Co.'s Board of Directors declared a cash dividend of \$0.09 per share of common stock, payable on May 30, 2025, to shareholders of record at the close of business on May 15, 2025.

### AMERANT BANCORP INC

**Dividend Announcement** On July 23, 2025, Co.'s Board of Directors declared a cash dividend of \$0.09 per share of common stock, payable on Aug. 29, 2025, to shareholders of record at the close of business on Aug. 15, 2025.

### AMERANT BANCORP INC

**Official Changes** On June 24, 2025, Co.'s Board of Directors authorized increasing the size of the Board from ten to twelve members, and thereafter appointed Patricia Morrison and Jack Kopnisky to serve as members of Co.'s Board, with such appointments to be effective as June 24, 2025.

### B RILEY FINANCIAL INC

#### Annual Report

**Consolidated Income Statement, Years Ended Dec. 31 (\$000):**

	2024	2023 (revised)	2022 (revised)
Revenues - services & fees .....	...	...	895,623
Trading income (loss) & fair value adjustments on loans .....	...	...	(202,628)
Revenues - interest income - loans & securities lending ....	...	...	245,400
Total revenues from contracts with customers .....	...	...	142,275
Sale of goods .....	220,619	240,303	...
Interest income - securities lending ....	70,862	161,652	...
Trading (loss) income .....	(57,007)	21,603	...
Services and fees .....	875,480	898,750	...
Fair Value Adjustments - Financial Investments .....	(325,498)	20,225	...
Interest income - securities lending .....	54,141	123,244	...
Total revenues .....	...	...	1,080,670
Direct cost of services .....	...	...	142,455
Direct cost of services .....	213,901	214,065	...
Cost of goods sold ...	167,634	172,836	...
Cost of goods sold ...	...	...	78,647

Selling, general and administrative .....	759,777	764,926	...	provision (benefit) for income taxes .....	412	(616)	46
Selling, general & administrative expenses .....	...	...	714,614	Total deferred provision (benefit) for income taxes .....	19,198	(40,959)	(80,234)
Restructuring charge .....	1,522	2,131	...	Net loss .....	(900,854)	(160,161)	...
Restructuring charge .....	...	...	9,011	Provision for (benefit from) for income taxes .....	22,125	(39,115)	(63,856)
Impairment of tradenames .....	...	17,233	...	Income (loss) from continuing operations .....	(900,854)	(160,161)	...
Impairment of goodwill and tradenames .....	105,373	70,333	...	Income (loss) from discontinued operations, net of tax .....	125,915	54,530	...
Interest expense - securities lending & loan participations sold .....	66,128	145,435	66,495	<b>Net income (loss)</b> .....	(774,939)	(105,631)	(156,594)
Total operating expenses .....	1,314,335	1,369,726	1,011,222	Net income (loss) attributable to noncontrolling interests & redeemable noncontrolling interests .....	10,665	5,721	(3,235)
Operating income (loss) .....	(475,738)	96,051	69,448	Net income (loss) attributable to B. Riley Financial, Inc. ....	(764,274)	(99,910)	(159,829)
Interest income .....	3,621	3,875	2,735	Preferred stock dividends .....	8,060	8,057	8,008
Dividend income .....	4,462	12,747	35,874	Net income (loss) available to common shareholders .....	(772,334)	(107,967)	(167,837)
Realized and unrealized losses on investments .....	(263,686)	(162,053)	...	Weighted average shares outstanding - basic .....	30,336	29,265	28,189
Realized & unrealized gains (losses) on investments .....	...	...	(201,079)	Weighted average shares outstanding - diluted .....	30,336	29,265	28,189
Change in fair value of financial instruments & other .....	...	...	10,188	Year end shares outstanding .....	30,500	29,937	28,524
Gain on extinguishment of loans & other .....	(18,725)	(5,409)	...	Earnings (loss) per share from continuing operations - basic ....	\$(29.67)	\$(5.38)	...
Income (loss) from equity method investments .....	31	(152)	...	Earnings per share-inc from discontinued oper .....	\$4.15	\$1.86	...
Income (loss) from equity method investments .....	...	...	3,570	Earnings (loss) per share from discontinued operations - basic ....	\$4.15	\$1.86	...
Gain from bargain purchase .....	4,614	(3,998)	...	Net income (loss) per common share - basic .....	\$(25.46)	\$(3.69)	\$(5.95)
Other income (expense) .....	...	15,903	...	Earnings (loss) per share from continuing operations - diluted .....	\$(29.67)	\$(5.38)	...
Interest expense .....	133,308	156,240	141,186	Earn per share-inc from discount ops - diluted .....	\$4.15	\$1.86	...
Income (loss) before income taxes ..	(878,729)	(199,276)	(220,450)	Earnings (loss) per share from discontinued operations - diluted .....	\$4.15	\$1.86	...
Current federal provision (benefit) for income taxes .....	...	2,411	15,793	Net income (loss) per common share -			
Current state provision (benefit) for income taxes .....	300	1,871	(1,053)				
Current foreign provision for income taxes .....	2,627	(2,438)	1,638				
Total current provision (benefit) for income taxes .....	2,927	1,844	16,378				
Deferred federal provision (benefit) for income taxes .....	18,154	(30,049)	(60,736)				
Deferred state provision (benefit) for income taxes .....	632	(10,294)	(19,544)				
Deferred foreign							

diluted .....	\$(25.46)	\$(3.69)	\$(5.95)
Dividends per common share .....	...	\$4.00	...
Number of full time employees .....	2,056	2,383	...
Number of common stockholders .....	129	...	...
Total number of employees .....	2,056	...	...
Impairment of goodwill and tradenames -			
Balancing value .....	...	53,100	...

□ Restated to reflect discontinued operations

**Consolidated Balance Sheet, Years Ended Dec. 31 (\$000):**

	2024	2023	(revised)
Cash and cash equivalents .....	154,877	222,690	
Restricted cash .....	100,475	1,875	
Due from clearing brokers .....	30,713	51,334	
Securities & other investments owned, at fair value .....	282,325	809,049	
Securities borrowed .....	43,022	2,870,939	
Accounts receivable .....	88,384	101,036	
Investment banking fees, commissions & other receivables .....	12,008	13,109	
Allowance for doubtful accounts .....	10,073	7,175	
Due from related parties .....	162	172	
Loan receivable .....	90,103	532,419	
Prepaid expenses and other assets .....	252,344	241,862	
Operating lease right-of-use assets .....	53,767	87,167	
Leasehold improvements .....	14,766	14,746	
Machinery, equipment & computer software .....	28,871	32,910	
Furniture & fixtures .....	5,510	5,799	
Total property & equipment, gross .....	49,147	53,455	
Accumulated depreciation and amortization .....	30,193	28,249	
Property, Plant and Equipments .....	18,954	25,206	
Goodwill .....	423,136	466,638	
Total Intangible Assets, Net .....	146,885	198,245	
Deferred income taxes .....	13,393	33,631	
Assets held for sale .....	84,723	438,341	
Total assets .....	1,783,263	6,080,604	
Accounts payable & accrued liabilities .....	52,564	43,992	
Accrued value added tax payable .....	203,196	252,876	
Income taxes payable .....	2,997	4,353	
Accrued payroll & related expenses .....	58,474	68,255	
Dividends payable .....	2,534	18,929	
Other tax liabilities .....	16,184	13,540	
Contingent consideration .....	7,630	27,985	
Accrued expenses .....	51,899	55,822	
Other liabilities .....	63,478	63,992	
Deferred revenue .....	58,153	70,575	
Deferred income taxes .....	5,462	...	
Due to related parties & partners .....	3,404	2,480	
Securities sold not yet purchased .....	5,675	8,601	
Securities loaned .....	27,942	2,859,306	
Operating lease liabilities .....	61,038	98,088	
Notes payable .....	16,329	43,801	
Loan participations sold .....	6,000	...	
Term loans, net .....	199,429	625,151	
Less: unamortized debt issuance costs .....	1,530,561	1,668,021	
Notes payable .....	28,021	19,391	
Liabilities held for sale .....	41,505	28,756	
Total liabilities .....	2,239,279	5,721,038	
Common stock .....	3	3	
Additional paid-in			

capital .....	589,387	572,170
Accumulated deficit .....	(1,070,996)	(281,285)
Accumulated other comprehensive loss .....	(6,569)	229
Total B. Riley Financial, Inc. stockholders' equity (deficit) .....	(488,175)	291,117
Noncontrolling interests .....	32,159	68,449
Total equity (deficit) .....	(456,016)	359,566

□ Restated to reflect discontinued operations

**Recent Dividends:**

**1. B Riley Financial Inc 6.875% series A cumulative perpetual preferred.**

No dividends paid.

**2. B Riley Financial Inc common.**

No dividends paid.

**Annual Dividends:**

**1. B Riley Financial Inc 6.875% series A cumulative perpetual preferred.**

No dividends paid.

**2. B Riley Financial Inc common.**

No dividends paid.

**BANKFINANCIAL CORP**

**Earnings, 6 mos. to Jun 30 (Consol. - \$000):**

	2025	2024
Net income .....	1,722	3,844
Earn. per share (primary) .....	\$0.14	\$0.31
Earn. per share (fully-diluted) .....	\$0.14	\$0.31
Avg. no. shs. (primary) .....	12,461	12,464
Avg. no. shs. (fully-diluted) .....	12,461	12,464

**Consolidated Balance Sheet Items, as of (\$000):**

	2025	2024
Assets:		
Net interest income .....	32,441	
Prov. loan losses .....	1,956	
Non-int. income .....	3,560	
Non-int. expenses .....	34,364	
Net before taxes .....	1,637	
Income taxes .....	(85)	

**BLUE RIDGE REAL ESTATE CO.**

**Earnings, 9 mos. to Jul 31 (Consol. - \$):**

	2025	2024
Net income .....	(748,309)	(583,396)
Earn. per share (primary) .....	\$(0.31)	\$(0.24)
Earn. per share (fully-diluted) .....	\$(0.31)	\$(0.24)
Avg. no. shs. (primary) .....	2,408,599	2,408,599
Avg. no. shs. (fully-diluted) .....	2,408,599	2,408,599

**Consolidated Balance Sheet Items, as of (\$):**

	2025	2024
Assets:		
Non-int. income .....	4,541,893	
Non-int. expenses .....	5,761,644	
Net before taxes .....	(1,010,309)	
Income taxes .....	(262,000)	

**EAGLE BANCORP MONTANA, INC.**

**Dividend Announcement** Co.'s board of directors declared a quarterly cash dividend of \$0.1425 per share on Jan. 23, 2025. The dividend will be payable Mar. 7, 2025, to shareholders of record Feb. 14, 2025.

**EAGLE BANCORP MONTANA, INC.**

**Dividend Announcement** Co.'s board of directors declared a quarterly cash dividend of \$0.1425 per share on Apr. 24, 2025. The dividend will be payable June 6, 2025, to shareholders of record May 16, 2025.

**EAGLE BANCORP MONTANA, INC.**

**Dividend Announcement** Co.'s board of directors declared a quarterly cash dividend of \$0.145 per share on July 24, 2025. The dividend will be payable Sep. 5, 2025, to shareholders of record Aug. 15, 2025.

**EAGLE BANCORP MONTANA, INC.**

**New Accountant** On June 3, 2025, Co. was notified that Moss Adams LLP, the Co.'s independent registered public accounting firm, merged with Baker Tilly US, LLP effective on June 3, 2025. The combined audit practices operate as Baker Tilly US, LLP. In connection with the notification of the merger, Moss Adams LLP has resigned as the auditors of the Co. and the Audit Committee of the Co.'s Board of Directors approved the appointment of Baker Tilly US, LLP, as the successor to Moss Adams LLP, as the Co.'s independent registered public accounting firm.

**EAGLE BANCORP MONTANA, INC.**

**New Accountant** On July 24, 2025, the Board approved the recommendation of the Audit Committee to appoint Plante & Moran, PLLC ("Plante Moran") as the Co.'s new independent registered public accounting firm for the fiscal year ending Dec. 31, 2026, subject to completion by Plante Moran of its standard client acceptance procedures and execution of an engagement letter, and the dismissal of Baker Tilly. The dismissal of Baker Tilly and the appointment of Plante Moran will become effective upon the issuance by Baker Tilly of its report on the Co.'s consolidated financial statements as of and for the fiscal year ending Dec. 31, 2025 and the effectiveness of internal control over financial reporting as of Dec. 31, 2025 for inclusion in the Co.'s Annual Report on Form 10-K for the fiscal year ending Dec. 31, 2025.

**EAGLE BANCORP MONTANA, INC.**

**Official Changes** On Jan. 28, 2025, Benjamin G. Ruddy notified Co.'s Board of Directors of his decision to resign, for personal reasons, from the Co.'s Board and the Board of Directors of its wholly-owned subsidiary Opportunity Bank of Montana, effective immediately.

**F & M BANK CORP.**

**Co. Repurchasing Certain Securities** On June 4, 2025, Co. announced that its Board of Directors (the Board) has authorized the repurchase of issued and outstanding shares of Co.'s common stock in an aggregate amount not to exceed \$2,200,000 through a Stock Repurchase Program (the Program). The Program is effective as of June 4, 2025 and will expire on May 31, 2026, subject to the Board's authority to suspend, terminate or modify the Program.

**F & M BANK CORP.**

**Dividend Announcement** On Jan. 23, 2025, Co.'s Board of Directors declared a fourth quarter dividend of \$0.26 per share to common shareholders. The dividend will be paid on Feb. 28, 2025, to shareholders of record as of Feb. 14, 2025.

**F & M BANK CORP.**

**Dividend Announcement** On Apr. 24, 2025, Co.'s Board of Directors declared a dividend of \$0.26 per share to common shareholders. The dividend will be paid on May 30, 2025, to shareholders of record as of May 15, 2025.

**F & M BANK CORP.**

**Dividend Announcement** On July 24, 2025, Co.'s Board of Directors declared a dividend of \$0.26 per share to common shareholders. The dividend will be paid on Aug. 29, 2025, to shareholders of record as of Aug. 14, 2025.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Co. Repurchasing Certain Securities** On Sept. 10, 2024, Co. announced that, effective immediately, Co. has authorized a new \$55.0 million share repurchase program through Dec. 31, 2026. The existing \$25.0 million share repurchase program approved in Nov. 2023 has been terminated.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Co. Repurchasing Certain Securities** On Aug. 14, 2025, Co. announced that, effective immediately, Co. has authorized an increase of \$45.0 million to the existing share repurchase program along with an extension of the program through Dec. 31, 2027. The increase will bring the total of the share repurchase program to \$57.6 million.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Dividend Announcement** On May 13, 2024, Co.'s Board of Directors declared a mid-year cash dividend of \$8.80 per share, payable on July 1, 2024, to shareholders of record on June 11, 2024.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Dividend Announcement** On Nov. 13, 2024, Co. declared a year-end cash dividend of \$9.30 per share, payable on Jan. 2, 2025 to shareholders of record as of Dec. 4, 2024.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Dividend Announcement** On May 12, 2025, Co.'s Board of Directors declared a mid-year cash dividend of \$9.30 per share, payable on July 1, 2025, to shareholders of record on June 10, 2025.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Official Changes** On Dec. 3, 2024, Co. announced the retirement of Deborah Skinner from her role as Executive Vice President and Chief Administrative Officer, effective Dec. 31, 2024.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Official Changes** On Jan. 8, 2025, Co. announced the resignation of Calvin ("Kelly") Suess from the Board of Directors, effective Jan. 14, 2025.

**FARMERS & MERCHANTS BANCORP (LODI, CA)**

**Official Changes** On Jan. 16, 2025, Co. announced the appointment of Deborah Skinner as the Board of Directors, effective Jan. 15, 2025.

**FINANCE OF AMERICA COMPANIES INC**

**Earnings, 6 mos. to Jun 30 (Consol. - \$000):**

[illegible]

loans, net.....	711,000	2,046,000	6,317,000
Servicing fees (expense), net.....	...	...	63,000
Gain (loss) on mortgage servicing rights, net.....	...	(23,000)	194,000
Real estate services fees, net.....	272,000	1,110,000	1,081,000
Broker fee income.....	...	...	50,000
Other revenues.....	...	...	890,000
Other Income.....	664,000	(176,000)	...
Personnel.....	6,998,000	11,432,000	...
Personnel expense.....	...	...	30,705,000
Business promotion.....	222,000	687,000	4,425,000
General & administrative & other expense.....	4,581,000	9,094,000	15,698,000
Occupancy expense.....	360,000	1,603,000	5,297,000
Total expenses.....	12,161,000	22,816,000	56,125,000
Operating income (loss).....	(9,740,000)	(19,298,000)	(47,530,000)
Interest income.....	1,050,000	1,041,000	...
Interest income.....	...	...	15,268,000
Interest Expense.....	8,446,000	7,274,000	...
Interest expense.....	...	...	19,137,000
Change in fair value of long-term debt.....	...	...	2,757,000
Change in fair value of net trust assets, including trust real estate owned (REO) gains (losses).....	...	...	9,248,000
Change in fair value of market component of long-term debt.....	(366,000)	1,434,000	...
Employee retention credit.....	25,000	(7,334,000)	...
Total other income (expense), net.....	(7,787,000)	2,535,000	8,136,000
Current income tax expense (benefit) - state.....	(6,000)	6,000	38,000
Total current income tax expense.....	(6,000)	6,000	38,000
Net Income After Taxes.....	(17,521,000)	(16,769,000)	...
Income tax expense.....	(6,000)	6,000	38,000
<b>Net income (loss).....</b>	<b>(17,521,000)</b>	<b>(16,769,000)</b>	<b>(39,432,000)</b>
Less: cumulative non-declared dividends on preferred stock.....	...	...	52,000
Net earnings (losses) attributable to common stockholders.....	...	...	(39,484,000)
Weighted average shares outstanding - basic.....	36,568,876	36,568,876	23,918,000
Weighted average shares outstanding - diluted.....	36,568,876	36,568,876	23,918,000
Year end shares outstanding.....	36,568,876	36,568,876	<sup>1</sup> 36,568,876
Earnings (loss) per common share from continuing operations - basic.....	\$(0.48)	\$(0.46)	...
Net earnings (loss) per common share - basic.....	\$(0.48)	\$(0.46)	\$(1.65)
Earnings (loss) per common share from continuing operations - diluted.....	\$(0.48)	\$(0.46)	...
Net earnings (loss) per common share - diluted.....	\$(0.48)	\$(0.46)	\$(1.65)
Full-Time Employees (Period End).....	100	100	...

Total number of employees.....	100	100	98
Number of common stockholders.....	...	...	<sup>2</sup> 172

<sup>1</sup> Shares increased due to the effect of issuance of restricted stock, deferred stock and common stock; <sup>2</sup> As of March 9, 2023

#### Consolidated Balance Sheet, Years Ended Dec. 31 (\$):

	2024	2023
Cash and cash equivalents.....	4,662,000	4,240,000
Restricted cash.....	3,757,000	4,250,000
Other assets.....	3,598,000	11,280,000
Loans held for sale.....	263,000	263,000
Employee retention credit receivable.....	...	6,184,000
Total assets.....	24,178,000	30,985,000
Notes payable.....	15,281,000	...
Convertible notes.....	...	10,000,000
Long-term debt.....	492,000	1,311,000
Securitized mortgage trust liabilities.....	15,381,000	14,379,000
Other liabilities.....	5,838,000	8,631,000
Total liabilities.....	36,992,000	34,321,000
Series D 8.25% redeemable preferred stock.....	347,000	347,000
Common Stock.....	366,000	366,000
Additional paid-in capital.....	1,238,492,000	1,238,466,000
Accumulated other comprehensive earnings.....	72,031,000	64,014,000
Cumulative dividends declared.....	(822,520,000)	(822,520,000)
Accumulated deficit.....	(501,530,000)	(484,009,000)
Total Impac Mortgage Holdings, Inc. stockholders' equity (deficit).....	(12,814,000)	(3,336,000)
Total stockholders' equity.....	(12,814,000)	(3,336,000)

#### Recent Dividends:

**1. Impac Mortgage Holdings, Inc. 9.125% series C cumulative redeemable preferred.**

No dividends paid.

**2. Impac Mortgage Holdings, Inc. 9.375% series B cumulative redeemable preferred.**

No dividends paid.

**3. Impac Mortgage Holdings, Inc. 8.250% series D redeemable preferred.**

No dividends paid.

**4. Impac Mortgage Holdings, Inc. common.**

No dividends paid.

#### Annual Dividends:

**1. Impac Mortgage Holdings, Inc. 9.125% series C cumulative redeemable preferred.**

No dividends paid.

**2. Impac Mortgage Holdings, Inc. 9.375% series B cumulative redeemable preferred.**

No dividends paid.

**3. Impac Mortgage Holdings, Inc. 8.250% series D redeemable preferred.**

No dividends paid.

**4. Impac Mortgage Holdings, Inc. common.**

No dividends paid.

#### INNSUITES HOSPITALITY TRUST

Earnings, 6 mos. to Jul 31 (Consol. - \$):

	2025	2024
Net income.....	(361,989)	(331,387)
Earn. per share (primary).....	\$(0.06)	\$(0.06)
Earn. per share (fully-diluted).....	\$(0.06)	\$(0.06)
Avg. no. shs. (primary).....	8,715,068	8,761,505
Avg. no. shs. (fully-diluted).....	8,715,068	8,761,505

#### Consolidated Balance Sheet Items, as of (\$):

	2025	2024
Assets:		
Non-int. income.....	4,004,635	3,650,369
Non-int. expenses.....	(295,871)	66,118
Net before taxes.....	66,118	
Income taxes.....		

#### KEYCORP

**Dividend Announcement** On Jan. 16, 2025, Co.'s Board of Directors declared a cash dividend of \$0.205 per share on the corporation's outstanding common shares, payable on Mar. 14, 2025 to holders of record of such common shares as of the close of business on Mar. 4, 2025.

#### KEYCORP

**Dividend Announcement** On Jan. 16, 2025, Co.'s Board of Directors declared a dividend of \$15.50 per share on the corporation's outstanding Fixed Rate Reset Perpetual Non-Cumulative Preferred Stock, Series H, payable on Mar. 17, 2025 to holders of record as of the close of business on Mar. 3, 2025.

#### KEYCORP

**Dividend Announcement** On Jan. 16, 2025, Co.'s Board of Directors declared a dividend of \$14.0625 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series G, payable on Mar. 17, 2025 to holders of record as of the close of business on Mar. 3, 2025.

#### KEYCORP

**Dividend Announcement** On Jan. 16, 2025, Co.'s Board of Directors declared a dividend of \$14.1250 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series F, payable on Mar. 17, 2025 to holders of record as of the close of business on Mar. 3, 2025.

#### KEYCORP

**Dividend Announcement** On Jan. 16, 2025, Co.'s Board of Directors declared a dividend of \$15.3125 per share on the corporation's outstanding Fixed-to-Floating Rate Perpetual Non-Cumulative Preferred Stock, Series E, payable on Mar. 17, 2025 to holders of record as of the close of business on Mar. 3, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a dividend of \$14.0625 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series G, payable on June 16, 2025 to holders of record as of the close of business on June 2, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a dividend of \$14.1250 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series F, payable on June 16, 2025 to holders of record as of the close of business on June 2, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a dividend of \$15.3125 per share on the corporation's outstanding Fixed-to-Floating Rate Perpetual Non-Cumulative Preferred Stock, Series E, payable on June 16, 2025 to holders of record as of the close of business on June 2, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a dividend of \$312.50 per share on the corporation's outstanding Fixed-to-Floating Rate Perpetual Non-Cumulative Preferred Stock, Series D, payable on June 16, 2025 to holders of record as of the close of business on June 2, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a cash dividend of \$0.205 per share on the corporation's outstanding common shares, payable on June 13, 2025, to holders of record of such common shares as of the close of business on May 27, 2025.

#### KEYCORP

**Dividend Announcement** On May 14, 2025, Co.'s Board of Directors declared a dividend of \$15.50 per share on the corporation's outstanding Fixed Rate Reset Perpetual Non-Cumulative Preferred Stock, Series H, payable on June 16, 2025 to holders of record as of the close of business on June 2, 2025.

#### KEYCORP

**Dividend Announcement** On July 15, 2025, Co.'s Board of Directors declared a cash dividend of \$0.205 per share on the corporation's outstanding common shares, payable on Sep. 15, 2025, to holders of record of such common shares as of the close of business on Sep. 2, 2025.

#### KEYCORP

**Dividend Announcement** On July 15, 2025, Co.'s Board of Directors declared a dividend of \$312.50 per share on the corporation's outstanding Fixed-to-Floating Rate Perpetual Non-Cumulative Preferred Stock, Series D, payable on Sep. 15, 2025 to holders of record as of the close of business on Sep. 2, 2025.

#### KEYCORP

**Dividend Announcement** On July 15, 2025, Co.'s Board of Directors declared a dividend of \$15.50 per share on the corporation's outstanding Fixed Rate Reset Perpetual Non-Cumulative Preferred Stock, Series H, payable on Sep. 15, 2025 to holders of record as of the close of business on Sep. 2, 2025.

**KEYCORP**

**Dividend Announcement** On July 15, 2025, Co.Šs Board of Directors declared a dividend of \$15.3125 per share on the corporation's outstanding Fixed-to-Floating Rate Perpetual Non-Cumulative Preferred Stock, Series E, payable on Sep. 15, 2025 to holders of record as of the close of business on Sep. 2, 2025.

**KEYCORP**

**Dividend Announcement** On July 15, 2025, Co.Šs Board of Directors declared a dividend of \$14.1250 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series F, payable on Sep. 15, 2025 to holders of record as of the close of business on Sep. 2, 2025.

**KEYCORP**

**Dividend Announcement** On July 15, 2025, Co.Šs Board of Directors declared a dividend of \$14.0625 per share on the corporation's outstanding Fixed Rate Perpetual Non-Cumulative Preferred Stock, Series G, payable on Sep. 15, 2025 to holders of record as of the close of business on Sep. 2, 2025.

**KEYCORP**

**Official Changes** On Jan. 16, 2025, Co.Šs Board of Directors declared a cash dividend of \$0.205 per share on the corporation's outstanding common shares, payable on Mar. 14, 2025 to holders of record of such common shares as of the close of business on Mar. 4, 2025.

**MARYGOLD COMPANIES INC (THE)****Annual Report****Consolidated Income Statement, Years Ended Jun. 30 (\$):**

	2025	2024	2023
Cost of revenue .....	8,282,000	8,720,000	...
General and administrative expense .....	8,891,000	8,942,000	...
Fund management - related party .....	17,135,000	18,965,000	20,862,191
Food products .....	6,720,000	7,271,000	7,631,837
Security systems .....	...	...	2,832,531
Beauty products & other revenue .....	...	...	3,033,100
Financial services .....	...	...	517,075
Security systems .....	2,471,000	2,655,000	...
Beauty products .....	2,974,000	3,296,000	...
Financial services .....	854,000	649,000	...
Net revenue .....	30,154,000	32,836,000	34,876,734
Cost of revenue .....	...	...	8,750,546
Gross profit (loss) .....	21,872,000	24,116,000	26,126,188
Salaries & compensation .....	11,366,000	11,150,000	10,042,155
General & administrative expense .....	...	...	7,075,639
Fund operations .....	5,222,000	5,154,000	4,387,004
Marketing & advertising .....	2,493,000	3,152,000	2,623,965
Depreciation & amortization .....	590,000	585,000	577,086
Impairment loss .....	...	1,389,000	...
Total operating expenses .....	28,562,000	30,372,000	24,705,849
Income (loss) from operations .....	(6,690,000)	(6,256,000)	1,420,339
Interest & dividend income .....	1,399,000	756,000	274,932
Interest expense .....	1,172,000	16,000	...
Interest expense .....	...	...	19,940
Other income (expense) .....	(919,000)	68,000	(81,313)
Total other income (expense), net .....	(692,000)	808,000	173,679
Income (loss) before income taxes - foreign .....	...	...	7,291
Income (loss) before income taxes .....	(7,382,000)	(5,448,000)	1,594,018
Current federal provision (benefit) for income taxes .....	39,000	(299,000)	299,707
Current state provision (benefit) for income taxes .....	16,000	43,000	78,723
Current foreign provision (benefit) for income taxes .....	(7,000)	74,000	87,033
Total current			

provision (benefit) for income taxes .....	48,000	(182,000)	465,463
Deferred federal provision (benefit) for income taxes .....	(1,356,000)	(1,071,000)	(22,508)
Deferred state provision (benefit) for income taxes .....	(1,000)	(81,000)	376
Deferred foreign provision (benefit) for income taxes .....	(253,000)	(45,000)	(14,342)
Total deferred provision (benefit) for income taxes .....	(1,610,000)	(1,197,000)	(36,474)
Net Income After Taxes .....	(5,820,000)	(4,069,000)	...
Provision (benefit) of income taxes .....	(1,562,000)	(1,379,000)	428,989
Net income (loss) .....	(5,820,000)	(4,069,000)	1,165,029
Net income available to preferred shareholders .....	...	...	28,489
Net income (loss) available to common shareholders .....	...	...	1,136,540
Weighted average shares outstanding - basic .....	41,701,000	40,396,000	40,370,659
Weighted average shares outstanding - diluted .....	41,701,000	40,396,000	40,403,999
Year end shares outstanding .....	42,818,000	40,096,000	39,383,459
Net income (loss) per share - continuing operations - basic .....	\$(0.14)	\$(0.10)	...
Net income (loss) per share - basic .....	\$(0.14)	\$(0.10)	\$0.03
Net income (loss) per share - continuing operations - diluted .....	\$(0.14)	\$(0.10)	...
Net income (loss) per share - diluted .....	\$(0.14)	\$(0.10)	\$0.03
Number of full time employees .....	104	116	91
Total number of employees .....	104	116	...
Number of common stockholders .....	360	364	354
Foreign currency translation adjustments .....	...	(124,000)	89,950

**Consolidated Balance Sheet, Years Ended Jun. 30 (\$):**

	2025	2024
Cash and cash equivalents .....	5,005,000	5,461,000
Accounts receivable, gross .....	3,642,000	4,133,000
Less: allowance for doubtful accounts .....	1,281,000	1,455,000
Accounts receivable - net .....	2,361,000	2,678,000
Raw materials .....	1,211,000	1,417,000
Finished goods .....	790,000	774,000
Less : impairment of finished goods .....	2,001,000	2,191,000
Investments, at fair value .....	7,829,000	9,551,000
Deposits .....	...	1,800,000
Prepaid income tax and tax receivable .....	783,000	1,338,000
Other current assets .....	1,067,000	3,034,000
Prepaid Expenses & Other current Assets .....	1,067,000	1,234,000
Total current assets .....	19,046,000	24,253,000
Restricted cash .....	63,000	62,000
Furniture & office equipment .....	854,000	827,000
Land & buildings .....	575,000	575,000
Total property and		

equipment, gross Other Machinery And Equipment .....	1,935,000	1,935,000
Total property, plant & equipment, gross .....	3,364,000	3,337,000
Accumulated depreciation .....	2,326,000	2,171,000
Property and equipment - net .....	1,038,000	1,166,000
Operating lease right-of-use asset .....	984,000	974,000
Goodwill .....	2,481,000	2,481,000
Intangible assets - net .....	1,029,000	1,375,000
Deferred tax assets, net - United States .....	3,440,000	1,969,000
Other assets .....	2,339,000	619,000
Equity investment in a registered investment advisor .....	502,000	502,000
Deposits and other assets .....	37,000	117,000
Equity investment in a financial institution .....	1,800,000	...
Total assets .....	30,420,000	32,899,000
Accounts payable .....	2,083,000	1,955,000
Taxes payable .....	...	145,000
Accrued payroll, vacation & bonus payable .....	597,000	736,000
Accrued operating expenses .....	1,151,000	1,185,000
Operating lease liabilities, current portion .....	556,000	602,000
Purchase consideration payable .....	3,831,000	4,021,000
Purchase consideration payable .....	257,000	277,000
Related party convertible debenture, net .....	1,268,000	333,000
Advance from buyer of Brigadier Security Systems .....	720,000	...
Total current liabilities .....	6,632,000	5,233,000
Loans-property & equipment, net of current portion .....	...	70,000
Operating lease liabilities, net of current portion .....	580,000	385,000
Deferred tax liabilities, net - foreign .....	221,000	360,000
Purchase consideration payable, net of current portion .....	...	237,000
Total long-term liabilities .....	801,000	1,052,000
Total liabilities .....	7,433,000	6,285,000
Series B preferred stock .....	43	40
Common stock .....	42,000	40,000
Additional paid-in capital .....	15,167,000	12,825,000
Accumulated other comprehensive loss .....	(420,000)	(269,000)
Retained earnings .....	8,198,000	14,018,000
Balancing Item - Shareholders Equity .....	(43)	(40)
Total stockholders' equity (deficit) .....	22,987,000	26,614,000
Total equity (deficit) .....	22,987,000	26,614,000

**Recent Dividends:**

**1. Marygold Companies Inc (The) common.**

No dividends paid.

**2. Marygold Companies Inc (The) series B convertible preferred.**

No dividends paid.

**3. Marygold Companies Inc (The) series A convertible preferred.**

No dividends paid.

**4. Marygold Companies Inc (The) series B convertible, voting, preferred.**

No dividends paid.

**Annual Dividends:**

**1. Marygold Companies Inc (The) common.**

No dividends paid.

**2. Marygold Companies Inc (The) series B convertible preferred.**

No dividends paid.

**3. Marygold Companies Inc (The) series A convertible preferred.**

No dividends paid.

**4. Marygold Companies Inc (The) series B convertible, voting, preferred.**

No dividends paid.

**NUVEEN DOW 30SM DYNAMIC OVERWRITE FUND**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	(2,244,100)	7,502,085
Earn. per share (primary) .....	\$(0.06)	\$0.21
Earn. per share (fully-diluted) .....	\$(0.06)	\$0.21
Avg. no. shs. (primary) .....	36,366,913	36,366,913
Avg. no. shs. (fully-diluted) .....	36,366,913	36,366,913

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Net interest income .....	5,226,000
Non-int. income .....	0
Non-int. expenses .....	2,739,102
Net before taxes .....	(2,244,100)

**PIMCO CALIFORNIA MUNICIPAL INCOME FUND III**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	(11,289,000)	631,000
Earn. per share (primary) .....	\$(0.50)	\$(0.04)
Earn. per share (fully-diluted) .....	\$(0.50)	\$(0.04)
Avg. no. shs. (primary) .....	22,488,000	22,488,000
Avg. no. shs. (fully-diluted) .....	22,488,000	22,488,000

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Net interest income .....	6,423,000
Non-int. income .....	0
Non-int. expenses .....	3,411,000
Net before taxes .....	(11,289,000)

**PRESIDIO PROPERTY TRUST INC**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	(2,670,705)	(15,116,470)
Earn. per share (primary) .....	\$(3.42)	\$(14.70)
Earn. per share (fully-diluted) .....	\$(3.42)	\$(14.70)
Avg. no. shs. (primary) .....	1,217,332	1,236,099
Avg. no. shs. (fully-diluted) .....	1,217,332	1,236,099

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Non-int. income .....	8,503,925
Non-int. expenses .....	10,305,214
Net before taxes .....	(2,642,204)
Income taxes .....	28,501

**S & T BANCORP INC (INDIANA, PA)**

**Earnings, 6 mos. to Jun 30(Consol. – \$000):**

	2025	2024
<b>Net income</b> .....	65,301	65,610
Earn. per share (primary) .....	\$1.71	\$1.72
Earn. per share (fully-diluted) .....	\$1.69	\$1.70
Avg. no. shs. (primary) .....	38,300	38,218
Avg. no. shs. (fully-diluted) .....	38,619	38,496

**Consolidated Balance Sheet Items, as of (\$000):**

Assets:	2025
Net interest income .....	253,754
Prov. loan losses .....	(1,066)
Non-int. income .....	20,659
Non-int. expenses .....	192,728
Net before taxes .....	81,685
Income taxes .....	16,384

**SPROTT FOCUS TRUST INC**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	7,868,372	(3,393,417)
Earn. per share (primary) .....	\$0.26	\$(0.11)
Earn. per share (fully-diluted) .....	\$0.26	\$(0.11)
Avg. no. shs. (primary) .....	29,716,740	29,872,528
Avg. no. shs. (fully-diluted) .....	29,716,740	29,872,528

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Net interest income .....	4,572,766
Non-int. income .....	49,804
Non-int. expenses .....	1,396,868
Net before taxes .....	7,868,372

**TEXAS COMMUNITY BANCSHARES INC**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	1,321,000	(2,337,000)
Earn. per share (primary) .....	\$0.46	\$(0.79)
Earn. per share (fully-diluted) .....	\$0.45	\$(0.79)
Avg. no. shs. (primary) .....	2,846,246	2,967,570
Avg. no. shs. (fully-diluted) .....	2,940,847	2,967,570

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Net interest income .....	11,108,000
Prov. loan losses .....	71,000
Non-int. income .....	1,095,000
Non-int. expenses .....	10,632,000
Net before taxes .....	1,571,000
Income taxes .....	250,000

**TRUIST FINANCIAL CORP**

**Official Changes** On May27, 2025, the Board of Directors (the "Board") of Co. appointed Jonathan Pruzan as a director of Co., effective immediately.

**UNITED BANCORP, INC. (MARTINS FERRY, OH)**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	3,786,000	3,732,000
Earn. per share (primary) .....	\$0.65	\$0.64
Earn. per share (fully-diluted) .....	\$0.65	\$0.64
Avg. no. shs. (primary) .....	5,558,504	5,565,391
Avg. no. shs. (fully-diluted) .....	5,558,504	5,565,391

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Net interest income .....	20,253,000
Prov. loan losses .....	302,000
Non-int. income .....	2,283,000
Non-int. expenses .....	18,679,000
Net before taxes .....	3,782,000
Income taxes .....	(4,000)

**VEEA INC**

**Earnings, 6 mos. to Jun 30(Consol. – \$):**

	2025	2024
<b>Net income</b> .....	(3,111,806)	(13,297,064)
Earn. per share (primary) .....	\$(0.08)	\$(0.37)
Earn. per share (fully-diluted) .....	\$(0.08)	\$(0.37)
Avg. no. shs. (primary) .....	37,621,401	35,620,090
Avg. no. shs. (fully-diluted) .....	37,621,401	35,620,090

**Consolidated Balance Sheet Items, as of (\$):**

Assets:	2025
Non-int. income .....	87,168
Non-int. expenses .....	10,553,621
Net before taxes .....	(3,111,806)

**ZIONS BANCORPORATION, N.A.**

**Dividend Announcement** On Jan. 31, 2025, Co.'s Board of Directors declared a regular quarterly dividend of \$0.43 per common share, payable Feb. 20, 2025, to shareholders of record at the close of business on Feb. 13, 2025.

**ZIONS BANCORPORATION, N.A.**

**Dividend Announcement** On May 2, 2025, Co.'s Board of Directors declared a regular quarterly dividend of \$0.43 per common share, payable May 22, 2025, to shareholders of record at the close of business on May 15, 2025.

**ZIONS BANCORPORATION, N.A.**

**Dividend Announcement** On Aug. 1, 2025, Co.'s Board of Directors declared a regular quarterly dividend of \$0.45 per common share, payable on Aug. 21, 2025, to shareholders of record at the close of business on Aug. 14, 2025

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